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UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>		Attorney Docket No.	HTC-003
		First Inventor	Ducksoo Kim
		Title	METHOD FOR SURGICALLY JOINING.....
		Express Mail Label No.	

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i>	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i> 2. <input checked="" type="checkbox"/> Applicant claims small entity status. <i>See 37 CFR 1.27.</i> 3. <input checked="" type="checkbox"/> Specification [Total Pages 90] <i>(preferred arrangement set forth below)</i> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 31] 5. Oath or Declaration [Total Pages 3] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <i>(for continuation/divisional with Box 17 completed)</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies
ACCOMPANYING APPLICATION PARTS	
9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of <i>(when there is an assignee)</i> Attorney 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input checked="" type="checkbox"/> Other: Accounting Sheet	

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No. 10/748,036, filed 12-29-03

Prior application information: Examiner Gloria Hale Group / Art Unit: 3765

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

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Signature	[Signature]		Date 02/25/04

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

ACCOUNTING SHEET

Commissioner For Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

HTC-003
Case Docket No.

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Ducksoo Kim
For: "METHOD FOR SURGICALLY JOINING A VENTRICULAR ASSIST
DEVICE TO THE CARDIOVASCULAR SYSTEM OF A LIVING SUBJECT
USING A PIERCING INTRODUCER ASSEMBLY"

XXX Enclosed are:

XX 96 pages of Specification.

XX 8 pages of claims.

XX 31 sheets of drawing.

XX An original combined Declaration And Power Of Attorney.

XX A written assertion of entitlement to Small Entity status
pursuant to 37 C.F.R. 1.27.

___ An Assignment of the invention to _____.

___ A Preliminary Amendment _____.

XXX The filing fee has been calculated as shown below [FY2004]:

Recording each Assignment..... \$ 40 // \$ 40

(Col. 1) (Col. 2) SMALL ENTITY // LARGE ENTITY

FOR: No. Filed / No. Extra / Rate - Fee (or) Rate - Fee

BASIC FEE: ***** ***** **** \$ 385 (or) **** \$ 770

TOTAL CLAIMS: 30 - 20 = 10 x \$ 9 = \$ 90 (or) x \$18 = \$ ****

INDEP CLAIMS: 2 - 3 = 0 x \$43 = \$ 00 (or) x \$86 = \$ ****

XXX **MULTIPLE DEPENDENT
CLAIM PRESENTED**

+ \$145 = \$ 145 (or) + \$290 = \$ ****

TOTAL = \$ 620

XXX A check in the amount of \$ 620.00 for the filing fee is enclosed.

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Date of
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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 C.F.R. 1.10 with the mailing label number and the date indicated above, and is addressed to the Commissioner for Patents, P.O. Box 1450, Mail Stop: Patent Application, Alexandria, Virginia 22313-1450.

David Prashker

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